

## WHEN ARE LIES CONSTITUTIONALLY PROTECTED?

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Sometimes lies are constitutionally punishable: consider libel, false statements to government investigators, fraudulent charitable fundraising, and more.<sup>1</sup> (I speak here of lies in the sense of knowing or reckless falsehoods, rather than honest mistakes.) But sometimes even deliberate lies are constitutionally protected. In *New York Times v. Sullivan*, the Court held that even deliberate lies (said with “actual malice”) about the government are constitutionally protected. And in *United States v. Alvarez*, five of the Justices agreed that lies about “about philosophy, religion, history, the social sciences, the arts, and the like” are generally protected.<sup>2</sup>

The Supreme Court hasn’t explained where the line is drawn, and that leaves unclear where important areas of controversy—such as laws punishing lies in election campaigns—should fall. In this short article, I hope to offer an account that makes sense of the precedents, and offers a framework for making future decisions.

### I. PUNISHABLE LIES

The Supreme Court has held that defamation, perjury, fraudulent attempts to get money, speech actionable under the false light tort, and lies that inflict severe emotional distress are all constitutionally unprotected.<sup>3</sup> In *Alvarez*, the Court also suggested that the government may more broadly punish lies that involve “some . . . legally cognizable harm associated with a false statement, such as an invasion of privacy or the costs of vexatious litigation”; “false statements made to Government officials, in communications concerning official matters”; and lies that are “integral to criminal conduct,” a category that might include “falsely representing that one is speaking on behalf of the Government, or . . . impersonating a Government officer.”<sup>4</sup> *Minnesota Voters Alliance v. Mansky* stated, in dictum, that “We do not doubt that the State may prohibit messages intended to mislead voters about voting requirements and procedures”;<sup>5</sup> but that case focused on speech in a nonpublic forum (polling places), and it’s not clear that the Court meant to authorize such prohibitions in public speech more generally.

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<sup>1</sup> See *infra* Part I.

<sup>2</sup> See *infra* Part II.

<sup>3</sup> *Garrison v. Louisiana*, 379 U.S. 64, 75 (1964) (defamation); *Konigsberg v. State Bar*, 366 U.S. 36, 49 n.10 (1961) (perjury); *Illinois ex rel. Madigan v. Telemarketing Associates, Inc.*, 538 U.S. 600 (2003) (fraud, even in the context of otherwise fully protected charitable solicitations); *Cantrell v. Forest City Publishing Co.*, 419 U.S. 245 (1974) (false light); *Time, Inc. v. Hill*, 385 U.S. 374 (1967) (false light); *Hustler Magazine, Inc. v. Falwell*, 485 U.S. 46, 56 (1988) (intentional infliction of severe emotional distress).

<sup>4</sup> 567 U.S. 709, 719 (2012) (plurality opin.); *id.* at 734 (Breyer, J., concurring in the judgment).

<sup>5</sup> 138 S. Ct. 1876, 1889 n.4 (2018).

Lower courts have generally allowed liability or punishment for lies about others' products or property;<sup>6</sup> unsworn lies to government officials;<sup>7</sup> lies likely to provoke public panic;<sup>8</sup> lies about being a government official;<sup>9</sup> lies about having a particular university degree or professional license (regardless of whether the false representation is intended to defraud a prospective employer or professional client);<sup>10</sup> lies to voters about the authorship or endorsement of political campaign

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<sup>6</sup> *Unelko Corp. v. Rooney*, 912 F.2d 1049, 1057-58 (9th Cir. 1990) (trade libel); *SCO Group, Inc. v. Novell, Inc.*, 692 F. Supp. 2d 1287, 1296 (D. Utah 2010) (slander of title). This is so even though these torts do not injure the individual dignitary interests that have long justified defamation law, *see Milkovich v. Lorain Journal Co.*, 497 U.S. 1, 22 (1990) (quoting with approval *Rosenblatt v. Baer*, 383 U.S. 75, 92-93 (1966) (Stewart, J., concurring)).

<sup>7</sup> *E.g.*, *Clipper Express v. Rocky Mountain Motor Tariff Bureau, Inc.*, 690 F.2d 1240 (9th Cir. 1982) (18 U.S.C. § 1001 generally); *United States v. Konstantakakos*, 121 Fed. Appx. 902, 905 (2d Cir. 2005) (statements on an immigration application); *People v. Hanifin*, 77 A.D.3d 1181 (N.Y. App. Div. 2010) (911 calls); *State v. Bailey*, 644 N.E.2d 314 (Ohio 1994) (statements to police officer); *Howell v. State*, 921 N.E.2d 503 (Ind. Ct. App. 2009) (statements to police officer). This likely includes knowingly false crime reports made to the public in general, if they seem certain to come to the attention of law enforcement officials. *Haley v. State*, 712 S.E.2d 838 (Ga. 2011) (rejecting First Amendment challenge to defendant's conviction when defendant released YouTube videos claiming to be a serial killer and was then prosecuted for making a false statement on a matter within the jurisdiction of a state agency).

<sup>8</sup> *Schenck v. United States*, 249 U.S. 47, 52 (1919) ("falsely shouting fire in a theatre"); 47 C.F.R. § 73.1217 (false radio or television statements that foreseeably cause "direct and actual damage to property or to the health or safety of the general public, or diversion of law enforcement or other public health and safety authorities from their duties"); 18 U.S.C. § 1038(a)(1) (false statements claiming an attack involving weapons of mass destruction "has taken, is taking, or will take place"), *upheld by United States v. Brahm*, 520 F. Supp. 2d 619, 626-27 (D.N.J. 2007) (citing *Schenck*).

<sup>9</sup> *Chappell v. United States*, 2010 WL 2520627 (E.D. Va. June 21); *United Seniors Ass'n, Inc. v. Social Sec. Admin.*, 423 F.3d 397, 404, 407 (4th Cir. 2005); *State v. Wickstrom*, 348 N.W.2d 183 (Wis. Ct. App. 1984). The statutes apply even when this does not involve fraudulently depriving anyone of money or property. Thus, for instance, the federal statute barring impersonation of federal officials, 18 U.S.C. § 912, has been read to require only "that the defendants have, by artifice and deceit, sought to cause the deceived person to follow some course he would not have pursued but for the deceitful conduct." *United States v. Lepowitch*, 318 U.S. 702, 704 (1943). "[A] person may be defrauded although he parts with something of no measurable value at all." *Id.* at 705; *see also United States v. Robbins*, 613 F.2d 688 (8th Cir. 1979); *United States v. Hamilton*, 276 F.2d 96 (7th Cir. 1960); *State v. Messer*, 91 P.3d 1191 (Kan. 2004). Nor are the statutes limited to impersonation of government officials who have coercive power such as that possessed by FBI agents or police officers. *See, e.g.*, 18 U.S.C. § 912 (covering impersonation of any federal government agent); *State v. Cantor*, 534 A.2d 83 (N.J. Super. Ct. App. Div. 1987) (upholding conviction for defendant newspaper reporter's impersonating a county morgue employee in order to get information about a homicide victim from the victim's mother).

<sup>10</sup> *Long v. State*, 622 So. 2d 536 (Fla. Ct. App. 1993); *People v. Kirk*, 310 N.Y.S.2d 155 (Cty. Ct. 1969); *State v. Marino*, 929 P.2d 173 (Kan. Ct. App. 1996).

materials;<sup>11</sup> and a candidate's lies to voters about his own credentials.<sup>12</sup> (Query whether these cases are in some measure undermined by *Alvarez*.)

## II. UNPUNISHABLE LIES

But some lies, the Court told us, are indeed constitutionally protected—again, not just when they are said without “actual malice,” but even if the speaker knows the statements are false. This includes “false statements about philosophy, religion, history, the social sciences, the arts, and the like,” at least “in many contexts.”<sup>13</sup> (I assume physical sciences would be covered as well.<sup>14</sup>) More broadly, this may include lies about any matters that are not “easily verifiable,” or where “it is perilous to permit the state to be the arbiter of truth.”<sup>15</sup>

Five of the Justices in *United States v. Alvarez* took this view: Justices Breyer and Kagan in the concurrence and Justices Alito, Scalia, and Thomas in the dissent. And it seems likely that the four Justices in the plurality, who generally took a more speech-protective view than the concurrence or the dissent, would have agreed.

When it came to the lies prohibited by the statute involved in *Alvarez* itself—lies about having been awarded military decorations—the Justices, put together, appeared to apply intermediate scrutiny. The four-Justice plurality would have applied strict scrutiny, but the swing votes in the concurrence applied intermediate scrutiny, and the three dissenters would have found those lies

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<sup>11</sup> This is so when the statements violate trademark law or other legal rules, even when no money is involved. E.g., *United We Stand America, Inc. v. United We Stand, America New York, Inc.*, 128 F.3d 86 (2d Cir. 1997) (rejecting First Amendment arguments and upholding injunction against defendant's using the name “United We Stand, America”); *United We Stand America, Inc. v. United We Stand, America New York, Inc.*, 941 F. Supp. 39 (S.D.N.Y. 1996) (stating that the Lanham Act applies not just to deceptive uses of another organization's name with respect to fundraising, but also with respect to “holding public meetings and press conferences” and “propounding proposals”) (quoting *Brach Van Houten Holding, Inc. v. Save Brach's Coalition for Chicago*, 856 F. Supp. 472, 475-76 (N.D. Ill. 1994)); *Tomei v. Finley*, 512 F. Supp. 695 (N.D. Ill. 1981) (rejecting First Amendment arguments and enjoining Democratic candidates from using the acronym “REP,” as in “Vote REP April 7,” as shorthand for the Representation for Every Person Party, a name seemingly chosen precisely to deceive voters into thinking that the candidates were Republicans); *Schmitt v. McLaughlin*, 275 N.W.2d 587, 590 (Minn. 1979) (rejecting First Amendment arguments in holding that the defendant's use of initials “DFL” in advertisements and lawn signs violated a state law barring false claims of support or endorsement by a political party, there the Democratic Farmer Labor party); *People v. Duryea*, 351 N.Y.S.2d 978, 988 (Sup. Ct. 1974) (dictum) (stating that a ban on false claims of endorsement by a political party would be constitutional), *aff'd*, 354 N.Y.S.2d 129 (App. Div. 1974).

<sup>12</sup> *Treasurer of the Comm. to Elect Gerald D. Lostracco v. Fox*, 389 N.W.2d 446 (Mich. Ct. App. 1986) (upholding against First Amendment challenge a statute banning false claims that one is the incumbent); *Ohio Democratic Party v. Ohio Elections Comm'n*, 2008 WL 3878364 (Ohio. Ct. App. Aug. 21) (upholding against First Amendment challenge a statute banning candidates from claiming to hold an office that they do not currently hold). *Alvarez* of course also involved a politician's lies about his credentials, but that statute was not focused on candidate lies to voters.

<sup>13</sup> *Id.* at 2552 (Breyer, J., concurring in the judgment); *id.* at 2564 (Alito, J., dissenting).

<sup>14</sup> Justice Alito's arguments for protecting speech about the social sciences, quoted in Part III.A below, apply equally to physical sciences.

<sup>15</sup> *Id.* at 2552 (Breyer, J., concurring in the judgment) ; *id.* at 2564 (Alito, J., dissenting).

to be categorically unprotected.<sup>16</sup> But as to lies about philosophy, history, science, and the like, a majority of Justices endorsed categorical protection.

And of course in *New York Times v. Sullivan* the Court held that “prosecutions for libel on government”—in context, including civil liability for such libel—“have [no] place in the American system of jurisprudence.”<sup>17</sup> That included quite specific allegations, such as claims that the police have arrested Martin Luther King, Jr. seven times. The allegations were not a libel of Sullivan, the Court held, because they weren’t sufficiently “of and concerning him”; and they couldn’t be a libel of the city, because that would constitute an unconstitutional seditious libel claim.

The boundaries of this unprotected zone, however, are not defined, though the recent concern about “fake news” makes those boundaries quite important. Courts are sharply split, for instance, on whether the government may generally punish lies in an election campaign.<sup>18</sup> One court decision has rejected claims of liability for alleged lies about vaccines,<sup>19</sup> but without discussing in detail whether such liability can be upheld on the theory that (to quote *Alvarez*) it involves “legally cognizable harm associated with a false statement”<sup>20</sup>—in that case, physical injury caused by a person’s believing the false statement.

Of course, the concern about “fake news” and the harms it can cause, both to society broadly and to particular people, is hardly new. Since 2020, many people have condemned false claims of election misconduct on the grounds that those claims damage democracy, and can indeed lead to insurrections.<sup>21</sup> But similar concerns (though not about election results in particular) date back to

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<sup>16</sup> *Id.* at 2548 (plurality opin.); *id.* at 2551 (Breyer, J., concurring in the judgment); *id.* at 2563 (Alito, J., dissenting).

<sup>17</sup> 376 U.S. at 273–76; *see also* *Rosenblatt v. Baer*, 383 U.S. 75, 81 (1966) (holding that “the Constitution does not tolerate in any form” “prosecutions for libel on government”).

<sup>18</sup> A few cases have allowed the punishment of such lies. *In re Chmura*, 608 N.W.2d 31 (Mich. 2000); *State v. Davis*, 27 Ohio App. 3d 65 (1985). A few more have rejected it. *Susan B. Anthony List v. Driehaus*, 814 F.3d 466 (6th Cir. 2016); *Commonwealth v. Lucas*, 472 Mass. 387 (2015); 281 Care Comm. v. Arneson, 766 F.3d 774 (8th Cir. 2014); *State ex rel. Public Disclosure Comm’n v. 119 Vote No! Comm.*, 135 Wash. 2d 618 (1998). *See generally* William P. Marshall, *False Campaign Speech and the First Amendment*, 153 U. PA. L. REV. 285 (2004); Frederick Schauer, *Facts and the First Amendment*, 57 UCLA L. REV. 897, 913–14 (2010); Jonathan D. Varat, *Deception and the First Amendment: A Central, Complex, and Somewhat Curious Relationship*, 53 UCLA L. REV. 1107, 1119–22 (2006); Richard L. Hasen, *A Constitutional Right to Lie in Campaigns and Elections?*, 74 MONT. L. REV. 53 (2013); RICHARD L. HASEN, *CHEAP SPEECH: HOW DISINFORMATION POISONS OUR POLITICS—AND HOW TO CURE IT* ch. 3 (2022).

<sup>19</sup> *Washington League for Increased Transparency & Ethics v. Fox News*, 19 Wash. App. 2d 1006 (2021).

<sup>20</sup> 567 U.S. at 719 (plurality opin.).

<sup>21</sup> *See, e.g.*, Eugene Volokh, *Seditious Libel, Today and 225 Years Ago*, VOLOKH CONSPIRACY (REASON), Jan. 10, 2022, 12:23 pm, <https://reason.com/volokh/2022/01/10/seditious-libel-today-and-225-years-ago/> (quoting and discussing Washington Governor’s proposal to criminalize “candidates and elected officials . . . knowingly [lying] about elections” “for the purpose of undermining the election process or results” when the statements “are likely to incite or cause lawlessness”); Eugene Volokh, *Michigan AG’s #DetroitLeaks Takedown Demand, and Seditious Libel*, VOLOKH CONSPIRACY (REASON), Nov. 10, 2020, 4:52 pm, <https://reason.com/volokh/2020/11/10/michigan-ag->

the debate over the Sedition Act of 1798. Justice Chase’s instructions to the jury in *United States v. Cooper*, for instance, defended seditious libel prosecutions on the grounds that:

If a man attempts to destroy the confidence of the people in their officers, their supreme magistrate, and their legislature, he effectually saps the foundation of the government.<sup>22</sup>

Likewise, Justice Iredell in *Case of Fries* (1799) reasoned that the Fries Rebellion happened because “the government had been vilely misrepresented, and made to appear to them in a character directly the reverse of what they deserved.”<sup>23</sup> “In consequence of such misrepresentations, a civil war had nearly desolated our country, and a certain expense of near two millions of dollars was actually incurred, which might be deemed the price of libels.”<sup>24</sup> And this showed that seditious libel prosecutions were necessary:

Men who are at a distance from the source of information must rely almost altogether on the accounts they receive from others. . . . [If those] accounts are false, the best head and the best heart cannot be proof against their influence; nor is it possible to calculate the combined effect of innumerable artifices, either by direct falsehood, or invidious insinuations, told day by day . . . .

Such being unquestionably the case, can it be tolerated in any civilized society that any should be permitted with impunity to tell falsehoods to the people, with an express intention to deceive them, and lead them into discontent, if not into insurrection, which is so apt to follow? . . .<sup>25</sup>

Iredell reasoned that falsehoods “intended to destroy confidence in government altogether, and thus induce disobedience to every act of it” had to be punishable. And the need to punish libel in a republic was especially great

because in a republic more is dependent on the good opinion of the people for its support, as they are, directly or indirectly, the origin of all authority, which of course must receive its bias from them. Take away from a republic the confidence of the people, and the whole fabric crumbles into dust.<sup>26</sup>

Update the language and the examples, and you can see an argument that could easily have been made in 2021.

A similar concern about harmful lies arose during World War I, when the Espionage Act of 1917 made it a crime to, among other things, “wilfully make or convey false reports or false statements with intent to interfere with the operation or success of the military or naval forces of the

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detroitleaks-takedown-demand-and-seditious-libel/ (quoting and discussing Michigan Attorney General’s letter demanding—on pain of “criminal prosecution”—the removal of materials on the grounds that it “contain[s] false and misleading information pertaining to Michigan elections,” referring to claims about past elections and not details on how to vote in future elections).

<sup>22</sup> *United States v. Cooper*, 25 F. Cas. 631, 639 (C.C.D. Pa. 1800).

<sup>23</sup> *Case of Fries*, 9 F. Cas. 826, 1799 U.S. App. Lexis 35, \*31 (C.C.D. Pa. 1799). Iredell was defending the Sedition Act of 1798, though Fries wasn’t tried under that Act.

<sup>24</sup> *Id.*

<sup>25</sup> *Id.* at 32–33.

<sup>26</sup> *Id.* at 34.

United States or to promote the success of its enemies.”<sup>27</sup> The Court upheld a conviction under this statute in *Schaefer v. United States*,<sup>28</sup> reasoning in part that the First Amendment did not extend to “weaken[ing] or debas[ing]” the “morale of the armies” through “question or calumny of the motives of authority.”<sup>29</sup>

The statements about the motivations for the war in the German-language newspaper in that case, the Court held, were “deliberate and wilfully false, the purpose being to represent that the war was not demanded by the people but was the result of the machinations of executive power, and thus to arouse resentment to it and what it would demand of ardor and effort.”<sup>30</sup> And other statements, the Court concluded, deliberately mistranslated a statement by Senator Robert LaFollette: LaFollette had warned of “bread lines” that might happen as a result of the war, but the newspaper rendered this as “bread riots.”<sup>31</sup>

Justice Brandeis, joined by Justice Holmes, didn’t quarrel with the constitutionality of the statute, but concluded that the statute had to be read as limited to

[w]ilfully untrue statements which might mislead the people as to the financial condition of the Government and thereby embarrass it; as to the adequacy of the preparations for war or the support of the forces; as to the sufficiency of the food supply; or wilfully untrue statements or reports of military operations which might mislead public opinion as to the competency of the army or navy or its leaders; or wilfully untrue statements or reports which might mislead officials in the execution of the law, or military authorities in the disposition of the forces.<sup>32</sup>

Reading it more broadly, as he thought the majority did, threatened the First Amendment:

To hold that such harmless additions to or omissions from news items, and such impotent expressions of editorial opinion, as were shown here, can afford the basis even of a prosecution will doubtless discourage criticism of the policies of the Government. To hold that such publications can be suppressed as false reports, subjects to new perils the constitutional liberty of the press . . .

And, he reasoned, the rationale would apply in peacetime as well:

In peace, too, men may differ widely as to what loyalty to our country demands; and an intolerant majority, swayed by passion or by fear, may be prone in the future, as it has often been in the past, to stamp as disloyal opinions with which it disagrees. Convictions such as these, besides abridging freedom of speech, threaten freedom of thought and of belief.<sup>33</sup>

Today, I expect that the “no seditious libel prosecutions” holding of *Sullivan* would preclude arguments such as those in *Cooper* and *Fries*, and likely even those as in *Schaefer*. But those

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<sup>27</sup> Espionage Act of 1917, sec. 3.

<sup>28</sup> 251 U.S. 466 (1920).

<sup>29</sup> *Id.* at 477.

<sup>30</sup> *Id.* at 480.

<sup>31</sup> *Id.* at 481.

<sup>32</sup> *Id.* at 492–93 (Brandeis, J., concurring in part and dissenting in part).

<sup>33</sup> *Id.* at 494–95.

arguments should still be remembered, if only as cautionary tales.

### III. WHAT'S THE DIFFERENCE?

#### A. *Alvarez*

Why then are some lies punishable and others not? The dissent in *Alvarez* gave a sketch of the argument, and it seems likely the concurrence and the plurality would have agreed. Let's look at the passage in which the "philosophy, religion, history, the social sciences, the arts, and other matters of public concern" passage appears:

[T]here are broad areas in which any attempt by the state to penalize purportedly false speech would present a grave and unacceptable danger of suppressing truthful speech. Laws restricting false statements about philosophy, religion, history, the social sciences, the arts, and other matters of public concern would present such a threat. The point is not that there is no such thing as truth or falsity in these areas or that the truth is always impossible to ascertain, but rather that it is perilous to permit the state to be the arbiter of truth.

Even where there is a wide scholarly consensus concerning a particular matter, the truth is served by allowing that consensus to be challenged without fear of reprisal. Today's accepted wisdom sometimes turns out to be mistaken. And in these contexts, "[e]ven a false statement may be deemed to make a valuable contribution to public debate, since it brings about 'the clearer perception and livelier impression of truth, produced by its collision with error.'"

Allowing the state to proscribe false statements in these areas also opens the door for the state to use its power for political ends. Statements about history illustrate this point. If some false statements about historical events may be banned, how certain must it be that a statement is false before the ban may be upheld? And who should make that calculation? While our cases prohibiting viewpoint discrimination would fetter the state's power to some degree, *see R.A.V. v. St. Paul*, 505 U.S. 377, 384-390 (1992) (explaining that the First Amendment does not permit the government to engage in viewpoint discrimination under the guise of regulating unprotected speech), the potential for abuse of power in these areas is simply too great.<sup>34</sup>

There are two different arguments working together here:

1. It's especially "*perilous*" for the government—and it is especially accompanied by a "potential for abuse of power"—to decide the truth as to certain topics, because it "opens the door for the state to use its power for political ends." But such decisionmaking is presumably less perilous when individual libel lawsuits are involved, or when someone is prosecuted for, for instance, perjury or fundraising fraud.
2. It's especially *valuable* to allow constant challenges to received wisdom as to certain topics, because that's the way knowledge in that field progresses. But it is presumably less valuable to allow constant "challenge[]" to the "consensus" about what some particular

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<sup>34</sup> 567 U.S. at 751–52 (paragraph break added) (Alito, J., dissenting); *id.* at 731 (Breyer, J., concurring in the judgment) (agreeing with the dissent that "there are broad areas in which any attempt by the state to penalize purportedly false speech would present a grave and unacceptable danger of suppressing truthful speech" and stating that "[l]aws restricting false statements about philosophy, religion, history, the social sciences, the arts, and the like raise such concerns, and in many contexts have called for strict scrutiny").

individual (even a public official) has done.

Yet while these concerns are doubtless relevant, it seems to me that the reason they are relevant is tied to a matter that was only implicit in the *Alvarez* opinions: the importance of alternative truthfinding institutions beyond the legal system,<sup>35</sup> and the relative advantages of relying on those institutions in certain situations.

## ***B. When Alternative Institutions Exist***

### **1. Generally**

Consider, for instance, science and history. John Stuart Mill famously defended freedom of speech in part on the grounds that the only real basis for “presuming an opinion to be true” is that “with every opportunity for contesting it, it has not been refuted.” “Complete liberty of contradicting and disproving our opinion, is the very condition which justifies us in assuming its truth for purposes of action; and on no other terms can a being with human faculties have any rational assurance of being right.”<sup>36</sup>

And modern science, history, and other academic disciplines rest largely on this principle. A theory is viewed as likely right (not certainly right) only to the extent that it has withstood, and continues to withstand, challenge. If everyone can try to refute the theory, but experts remain unpersuaded, that is reason for people—including those who lack the knowledge to independently evaluate the theory themselves—to rely on the experts’ consensus. Most of us, for instance, know little about what happened to Armenians in the Ottoman Empire in 1915, and why it happened. If we learn that historians have generally reached the consensus that the cause was a deliberate genocide by the Ottomans, we would have some reason to endorse that.

But if we were to learn that this consensus endured not because it could constantly be challenged, but because it was enforced by threat of criminal prosecution or civil liability or violent attack or firing for those who challenged it, that would be reason to doubt the consensus. Perhaps, after all, there might be powerful arguments against the consensus, but ones that scholars have not been allowed to fairly consider.

To be sure, one might in principle distinguish lies, even in scientific debate, from honest errors.<sup>37</sup> But in practice, given how hard it is for government officials to accurately determine whether someone is sincere—especially when that someone holds beliefs the officials sharply condemn—and the chilling effect caused by this risk of error, it may be best to provide categorical protection rather than just an “actual malice” standard. Such an argument, made by Justices Black, Douglas, and Goldberg, didn’t carry the day as to public official libel lawsuits in *New York Times*

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<sup>35</sup> See generally JONATHAN RAUCH, *THE CONSTITUTION OF KNOWLEDGE: A DEFENSE OF TRUTH* (2021); Paul Horwitz, *Free Speech As Risk Analysis: Heuristics, Biases, and Institutions in the First Amendment*, 76 TEMP. L. REV. 1 (2003).

<sup>36</sup> JOHN STUART MILL, *ON LIBERTY* 21 (1867); see also Varat, *supra* note 18, at 1119.

<sup>37</sup> SEANA VALENTINE SHIFFRIN, *SPEECH MATTERS: ON LYING, MORALITY, AND THE LAW* ch. 4 (2014).



*v. Sullivan*.<sup>38</sup> But perhaps it is what tipped the balance in favor of categorical immunity as to statements about the government by the *Sullivan* majority, and in favor of categorical immunity as to statements about science, history, and the like in *Alvarez*.

What makes this categorical immunity as to science and history, though, is precisely that there is an institutional academic system with the ability and the incentive to deal with these questions. On one hand, this system benefits from the freedom to make false statements, since that freedom also frees people to make claims that are true but appear at first to be incorrect; or to make claims that prove to be partly false but partly true; or even to make claims that are entirely false but for which the process of disproving them produces important insights—all of which have been familiar phenomena in the history of science.

On the other hand, the system offers the prospect of “good [counsels]” (here, in the sense of likely accurate scientific or historical information) being a practically moderately effective “remedy” for “evil counsels” (here, in the sense of likely false claims about science or history), and not just a theoretically “fitting” one.<sup>39</sup> This system of expert debate provides not just the abstract “power of reason as applied through public discussion,”<sup>40</sup> but also a real likelihood that a body of researchers, writers, and commentators will really apply reason to such matters. (I speak here of the entire system of maintaining and applying knowledge, which includes journalists and other knowledgeable laypeople as well as academics.)

The same might well be true as to speech about the government. Disputes about what is actually happening in a war, or whether there was fraud in an election, or whether racially disparate arrest rates by a particular police department stem from racially disparate crime rates or from discrimination by the police (or both), might likewise need an ongoing, iterative process like the scientific process. Here too any consensus might only be credible to the extent that it withstands ongoing attempts at refutation. And here too there are institutions that not only profit from the ability to freely debate the issue, but that have the ability and the incentive to rebut error: journalists, political activists, and the criticized government agencies themselves.<sup>41</sup>

Indeed, when a government agency is accused of misconduct, its leaders will have huge incentives to rebut the accusations—both selfish incentives and public-regarding ones. They will be able to use government resources to offer such rebuttals; election administration agencies, for

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<sup>38</sup> 376 U.S. 254, 293 (1964) (Black, J., concurring); *id.* at 298 (Goldberg, J., concurring).

<sup>39</sup> *Whitney v. California*, 274 U.S. 357, 375 (1927) (Whitney, J., concurring).

<sup>40</sup> *Id.*

<sup>41</sup> Indeed, the plurality and concurrence in *Alvarez* concluded that the specific lies punished by the Stolen Valor Act—lies about having gotten a military decoration from the government—could be effectively rebutted by the government maintaining a database of actual recipients of such decorations, which members of the public could then check. 567 U.S. at 728 (plurality opin.); *id.* at 738 (Breyer, J., concurring in the judgment). This argument, though, stemmed in part from those opinions’ conclusion that the law restricted even speech that wasn’t particularly harmful, *id.* at 719 (plurality opin.); *id.* at 734 (Breyer, J., concurring in the judgment); for the concurrence, that justified evaluating the law under intermediate scrutiny rather than strict scrutiny, *id.* at 730–31.

instance, have a legitimate reason to spend money to point to evidence why the election results should indeed be trusted. And they will likely be able to get the attention of activists and journalists who are interested in the subject.

Of course, none of these institutions are at all guaranteed to be reliable. They may fail to notice some falsehoods. They may fail to persuade the public—or at least a portion of the public—that some of the falsehoods are false. Indeed, they may sometimes themselves spread the falsehoods.

But it's all relative, which is also where the “peril” of legal suppression comes in. The institution of legal decisionmaking about the truth or falsity of a claim is not guaranteed to be reliable, either. Indeed, prosecutors, civil enforcers, and judges may be especially likely to be emotionally and politically invested in government policies, or in politically charged claims about history or science. Even jurors, as citizens, may be particularly likely to support one side or another. As between allowing the truth to be determined by the process of civil or criminal litigation, and having it be determined by ongoing debate among scholars, journalists, and others, the latter approach is likely to on balance be better.

## 2. Facts and opinions

And this is especially so given the frequent difficulty of drawing the line between opinion and factual assertions, exacerbated by the human tendency to draw that line based on our own attitudes towards the merits of the speech. This difficulty has been evident throughout the history of attempts to regulate alleged “fake news.”

Consider, for instance, *United States v. Cooper*, one of the Sedition Act of 1798 cases. Cooper was convicted of false and malicious statements based essentially on these passages in a leaflet:

Nor were we yet . . . threatened [in 1797], under [President Adams'] auspices, with the existence of a standing army. Our credit was not yet reduced so low as to borrow money at eight per cent. in time of peace . . . .

Mr. Adams had not yet . . . interfered, as president of the United States, to influence the decisions of a court of justice—a stretch of authority which the monarch of Great Britain would have shrunk from—an interference without precedent, against law and against mercy. This melancholy case of Jonathan Robbins, a native citizen of America, forcibly impressed by the British, and delivered up, with the advice of Mr. Adams, to the mock trial of a British court-martial, had not yet astonished the republican citizens of this free country; a case too little known, but of which the people ought to be fully apprised, before the election, and they shall be.<sup>42</sup>

Lies!, said Justice Chase to the jury (and the jury through its verdict agreed): a “scandalous and malicious libel,” containing three “false” elements: The charge related to the nation’s credit was supposedly false because the late 1790s weren’t really a “time of peace.” The condemnation of the president’s conduct in the Jonathan Robbins matter was supposedly false because the president was required by treaty to hand Robbins over. And the “standing army” statement was supposedly false because (Justice Chase reasoned) the army couldn’t be “standing” given that, in accordance

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<sup>42</sup> 25 F. Cas. 631, 640–41 (C.C.D. Pa. 1800).

with the Constitution, its expenses could only be authorized for two years.<sup>43</sup>

Yet it seems clear that these were actually opinions. Whether one calls America's experience with France in 1798–1800 a time of “war” or “peace” is a matter of judgment and definition, not of fact. Indeed, it is sometimes called a “Quasi-War,” and Adams himself later called it a “Half War,”<sup>44</sup> reflecting the uncertainty of the “war”/“peace” distinction. Likewise, whether “standing army” refers to any army that is in place for an extended time or only to an army that operates without need for frequent Congressional reauthorization is a matter of definition. And whether Adams' actions with regard to Robbins were “against law” is likewise a matter of opinion.

The same is true with some of the statements in *Schaefer*, the WWI “false reports” case. The alleged mistranslation of “breadlines” may have been a pure factual error (whether accidental or deliberate), but that was a secondary part of the Court's opinion. The more extended discussion of alleged falsehood came here:

The aid . . . asserted to have been rendered to England by President Wilson was represented to have been in opposition to the wishes of the people expressed, “by the unwillingness of their [the United States'] young men to offer themselves as volunteers for the war. But it will not rest there. The call for peace will come from the masses and will demand to be heard. And the sooner the better. No blood has been shed yet, no hate or bitterness has yet arisen against Germany, who has never done this country any harm, but has sent millions of her sons for its upbuilding. The sooner the American people come to their senses and demand peace, the better and more honorable it will be for this country.” . . .

[The article] was . . . reinforced by another article July 7, 1917. It (the latter) had for headlines the words “The Failure of Recruiting,” and recruiting failed, was its representation, notwithstanding an “advertising campaign was worked at high pressure” and “all sorts of means were tried to stir up patriotism.” Its further declaration was that “Germany was represented as a violator of all human rights and all international law, yet all in vain. Neither the resounding praises nor the obviously false accusations against Germany were of any avail. The recruits did not materialize.” The cause was represented to be “that the American, who certainly cannot be called a coward” did “not care to allow himself to be shot to satisfy British lust for the mastery of the world.” And “the people instinctively recognize and feel” that “the pro-British policy of the Government,—is an error, which can bring nothing but injury upon this country.” It was then added that “the nation therefore” was doing the only thing it could still do, “since its desires were not consulted at first.” It refused “to take part.” . . .

[The] statements were deliberate and wilfully false, the purpose being to represent that the war was not demanded by the people but was the result of the machinations of executive power, and thus to arouse resentment to it and what it would demand of ardor and effort. . . .

Yet surely the judgment of whether “the war was . . . demanded by the people” or whether it “was the result of the machinations of executive power” (or a mixture of the two) is a matter of opinion. A statement about what “the people instinctively recognize and feel” is obviously a guess, not an assertion of what can be empirically proved true or false.<sup>45</sup>

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<sup>43</sup> *Id.* at 640–42.

<sup>44</sup> Letter from John Adams to Benjamin Rush, Sept. 30, 1805.

<sup>45</sup> Cite statistics on low volunteer numbers in 1917.

And we see this continuing today. Thus, for instance, in 2020 an advocacy group sued Fox News claiming that its coronavirus coverage was “false,”<sup>46</sup> but many of the alleged falsehoods were opinions, such as about just how dangerous COVID was.<sup>47</sup> Likewise, the top item on then-President Trump’s “fake news” award list<sup>48</sup>—Paul Krugman’s wildly incorrect prediction the day after President Trump’s election that “If the question is when markets will recover, a first-pass answer is never”<sup>49</sup>—was an opinion, as predictions inherently are.<sup>50</sup>

To be sure, this risk is present in all false statement cases, including ordinary libel lawsuits. Courts routinely have to decide whether a statement is a potentially actionable factual assertion or a constitutionally protected opinion, and are often alleged to have erred on the matter.<sup>51</sup> The risk of erroneously punishing opinions is not sufficient to categorically bar all liability for lies. But it may be one factor in favor of forbidding legal liability when alternative institutions can help correct the record.

### C. When Alternative Institutions Are Largely Absent

The situation is quite different with ordinary libel, fraud, or perjury cases, where we don’t expect resolution by an iterative process of theorizing and attempted rebuttal, or speech and counter-speech. When it comes to whether Daniel Connaughton offered improper favors to a grand juror, or whether Jeffrey Masson made particular statements to Janet Malcolm, we count on the justice system to determine the truth (just as we’d count on it to determine the truth if Connaughton were prosecuted for the favors, or if someone is sued for sexual harassment based on statements he made to a colleague).<sup>52</sup>

And this toleration of libel lawsuits likely implicitly stems from the fact that it would be futile to expect alternative institutions—such as the academy or the media—to offer a more helpful resolution of these matters. The questions are generally too narrow to engage those institutions’

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<sup>46</sup> Complaint, *Washington League for Increased Transparency & Ethics v. Fox News*, No. 20-2-07428-4 SEA. (Wash. King Cty. Super. Ct. Apr. 2, 2020), <https://perma.cc/54BT-WRAE>.

<sup>47</sup> See Eugene Volokh, *Lawsuit Against Fox for Its Coronavirus Coverage*, VOLOKH CONSPIRACY (REASON), Apr. 3, 2020, 9:06 pm, <https://reason.com/volokh/2020/04/03/lawsuit-against-fox-for-its-coronavirus-coverage/>.

<sup>48</sup> Jason Schwartz, *Trump Gives Out ‘Fake News Awards’ to CNN*, *N.Y. Times*, *Wash Post*, POLITICO, Jan. 17, 2018, 7:45 pm, <https://www.politico.com/story/2018/01/17/trump-fake-news-awards-345482>.

<sup>49</sup> Paul Krugman, *The Economic Fallout*, *N.Y. TIMES*, Nov. 9, 2016, 12:42 am. In fact, the market rose 250 points by the end of that very day, was up nearly 1500 points from election day by inauguration day, Jan. 20, 2017, and was up by 10,000 points by the end of 2019. See Yahoo! Finance, *Dow Jones Industrial Average (^DJI) [Historical]*, <https://finance.yahoo.com/quote/%5EDJI/history>.

<sup>50</sup> Others have of course noted the danger that opinions might be lumped together with true false statements of fact. See, e.g., Will Oremus, *Stop Calling Everything ‘Fake News’*, SLATE, Dec. 6, 2016, 6:58 pm, <https://slate.com/technology/2016/12/stop-calling-everything-fake-news.html>.

<sup>51</sup> See, e.g., *Milkovich v. Lorain Journal Co.*, 497 U.S. 1 (1990).

<sup>52</sup> See *Harte-Hanks Communications, Inc. v. Connaughton*, 491 U.S. 657 (1989); *Masson v. N.Y. Mag., Inc.*, 501 U.S. 496 (1991).

attention, except perhaps to the extent of one or two newspaper articles. In principle, counter-speech *could* effectively rebut the lies; but in practice few people (other than the plaintiff) will have much interest in engaging in this counter-speech. In the absence of a government-provided forum for determining (however imperfectly) the truth, there is likely to be no other forum.

On top of that, the specificity of the target may call for some degree of compensation—at least in cases of damage to reputation—and not just for setting the record straight. And at least in most libel cases, government officials may be expected to be more dispassionate about whether some speaker indeed lied about some target than they would be in disputes about global warming or the history of Jim Crow or the presence or absence of election fraud.

In a sense, this echoes the *Gertz v. Robert Welch, Inc.* distinction between public figures and private figures. Public figures, the Court said in *Gertz*, “enjoy significantly greater access to the channels of effective communication and hence have a more realistic opportunity to counteract false statements than private individuals normally enjoy”: They can more effectively use “self-help” to “contradict the lie or correct the error and thereby to minimize its adverse impact on reputation.”<sup>53</sup>

Perhaps at the heart of the *Alvarez* categorical immunity for certain kinds of lies is a stronger version of that statement. Government entities have still more opportunity to counteract false statements both about themselves and about science and history, because of the resources they can marshal and the likelihood that the media and advocacy groups will indeed at least listen to them.<sup>54</sup> And there are powerful institutions—though of course never perfectly reliable or effective ones—for counteracting false statements about history or science.

#### IV. IMPLICATIONS FOR SOME CONTROVERSIES

If I’m right that the question here is one of comparative effectiveness of different truth-finding institutions, then this might bear on how any particular kind of false statement should be classified.

##### A. Lies in Election Campaigns

Lies in election campaigns are in some respects especially dangerous, because they often happen shortly before the election, when there is little time to respond.<sup>55</sup> At the same time,

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<sup>53</sup> 418 U.S. 323, 344 (1974).

<sup>54</sup> The plurality and concurrence in *Alvarez* did note, see *supra* Part I, that the government could punish impersonation of government officials, as well as false statements to government officials. But these involve speech about the speaker, or to the government, not speech about the government; and they generally involve speech as to which the speaker is claiming some specialized personal knowledge. For reasons discussed in Part IV.D, that is the sort of speech for which institutional counterspeakers are least likely to be effective.

<sup>55</sup> Many thanks to Genevieve Lakier for stressing to me the importance of time sensitivity in this context. Cf. *Mills v. Alabama*, 384 U.S. 214, 219–20 (1966) (striking down a statute that banned all newspaper editorials on election day, which the lower court had upheld on the grounds that, “‘as a practical matter, because of lack of time, such matters cannot be answered or their truth determined until after the election is over’”); *Commonwealth v. Lucas*, 472

In a political campaign, a candidate's factual blunder is unlikely to escape the notice of, and correction by, the erring candidate's political opponent. The preferred First Amendment remedy of "more speech, not enforced silence," thus has special force.<sup>56</sup>

And what is true of factual blunders is likely true of deliberate falsehoods as well.<sup>57</sup>

Nonetheless, I'm tentatively inclined to agree with the recent state supreme court and federal appellate decisions that conclude that, on balance, allowing prosecutions for such lies is too dangerous. The Massachusetts Supreme Judicial Court's 2015 decision in *Commonwealth v. Lucas* is the most recent articulation of the argument:

1. The proper institution for dealing with such lies, for all its flaws, is the prospect of "counterspeech" by the opponent.<sup>58</sup>
2. Such a statute "may be manipulated easily into a tool for subverting its own justification, i.e., the fairness and freedom of the electoral process, through the chilling of core political speech,"<sup>59</sup> through tricks such as "well-publicized, yet bogus, complaint[s] to the [governmental enforcement body] on election eve."<sup>60</sup> "[B]y the time of the probable cause hearing the election may well be over and the damage will be done."<sup>61</sup>
3. This is especially so because "the distinction between fact and opinion is not always obvious," especially in rushed decisions about whether to issue a criminal complaint.<sup>62</sup>
4. "[E]ven in cases involving seemingly obvious statements of political fact, distinguishing between truth and falsity may prove exceedingly difficult. Assertions regarding a candidate's voting record on a particular issue may very well require an in-depth analysis of

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Mass. 387, 401 (2015) (discussing, though ultimately rejecting, the timing concern as an argument for banning lies in an election campaign).

<sup>56</sup> *Brown v. Hartlage*, 456 U.S. 45, 61 (1982) (*Whitney v. California*, 274 U.S. 357, 377 (1927) (Brandeis, J., concurring)); *Rickert*, 168 P.3d at 832 (noting that, in that case, the candidate about whom lies were told "and his (many) supporters responded to [the] false statements with the truth," and that therefore the false "statements appear to have had little negative impact on [the candidate's] successful campaign and may even have increased his vote").

<sup>57</sup> See, e.g., 281 Care Comm. v. Arneson, 766 F.3d 774, 793 (8th Cir. 2014) (applying the *Brown v. Hartlage* quote to a statute banning deliberate lies).

<sup>58</sup> *Lucas*, 472 Mass. at 399; see also *Rickert v. Public Disclosure Comm'n*, 161 Wash. 2d 843, 855 (2007); 281 Care Comm., 766 F.3d at 793.

<sup>59</sup> *Lucas*, 472 Mass. at 402.

<sup>60</sup> *Id.* (quoting *State v. 119 Vote No! Comm.*, 135 Wash. 2d 618, 626, 627 (1998)); see also 281 Care Comm., 766 F.3d at 789 (concluding that a statute banning lies during an election campaign "tends to perpetuate the very fraud it is allegedly designed to prohibit").

<sup>61</sup> *Id.* at 404 (quoting 281 Care Comm., 766 F.3d at 792).

<sup>62</sup> *Lucas*, 472 Mass. at 403 (quoting *King v. Globe Newspaper Co.*, 400 Mass. 705, 709 (1987) ("it is much easier to recognize the significance of the distinction between statements of opinion and statements of fact than it is to make the distinction in a particular case")); *Rickert*, 168 P.3d at 829 (criticizing the "naïve[] assum[ption] that the government is capable of correctly and consistently negotiating the thin line between fact and opinion in political speech").

legislative history that will often be ill-suited to the compressed time frame of an election.”<sup>63</sup>

To be sure, even the opinions that have struck down election lie statutes on these grounds have included language that seems to express openness to some restrictions. For instance, *Lucas* stressed that the problems with the law were made especially severe by the fact “that anyone may file an application for a criminal complaint,” so that “an individual, unconstrained by the ethical obligations imposed on government officials, will file an unmeritorious application ‘at a tactically calculated time so as to divert the attention of an entire campaign from the meritorious task at hand of supporting or defeating a ballot question [or candidate].’”<sup>64</sup> Perhaps the result might have been different had the law only allowed complaints to be filed by prosecutors or other government enforcement officials. Still, I doubt the court would or should have come out differently in that situation, in part because such more traditional government-triggered enforcement would raise its own possible risks of selective enforcement and politically motivated prosecution.<sup>65</sup>

Likewise, *Lucas* noted that the law wasn’t well-tailored to the concern about allegations that come too late in the campaign for the other side to effectively rebut them.<sup>66</sup> Perhaps a law focused on just false statements in, say, the last three days of the campaign might be upheld as sufficiently narrow. (Of course, it would be unlikely that the law could be effectively enforced in a way that would yield a finding of falsity before the election, but perhaps the threat of post-election criminal punishment might still be an effective deterrent.<sup>67</sup>) Still, on balance it seems to me that the traditional approach of candidates pointing out their rivals’ lies, often supplemented by the media chiming in, is the least bad of a bad set of options.<sup>68</sup>

### B. Lies About “Election Procedures”<sup>69</sup>

Much of the concern about generally punishing lies during elections, though, stems from the broad range of lies that could be covered and the potential controversies about what is the truth and what is a factual claim and what is opinion. Narrower restrictions might pose fewer problems.

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<sup>63</sup> *Id.* at 403.

<sup>64</sup> *Id.* at 403 (quoting *281 Care Comm.*, 766 F.3d at 790).

<sup>65</sup> *Cf. Rickert*, 168 P.3d at 831 (faulting a false election statements statute for relying on an “administrative body” that is “appointed by the governor, a political officer”).

<sup>66</sup> *Id.* at 401.

<sup>67</sup> Some such laws might actually authorizing the voiding of election results based on lies by the winning candidate. *See, e.g.*, *Matter of Contest of Election in DFL Primary Election Held on Tuesday*, Sept. 13, 1983, 344 N.W.2d 826 (Minn. 1984) (voiding an election under such a law); *Cook v. Corbett*, 251 Or. 263 (1968) (likewise); *Watkins v. Woolas*, [2010] EWHC (Q.B.) 2702, [3] (Eng.) (likewise, under English law); *Lance Conn, Mississippi Mudslinging: The Search for Truth in Political Advertising*, 63 *MISS. L.J.* 507, 519 n.49 (1994) (noting these cases); *Note, Avoidance of an Election or Referendum When the Electorate Has Been Misled*, 70 *HARV. L. REV.* 1077, 1087 (1957).

<sup>68</sup> *See also* *HASEN*, *supra* note 18, at ch. 3. For a prominent contrary argument, *see* *Marshall*, *supra* note 18.

<sup>69</sup> *Cf., e.g.*, *MO. STATS. § 115.631(26)* (outlawing “Knowingly providing false information about election procedures for the purpose of preventing any person from going to the polls”).

This is particularly so with regard to lies about the when, where, how, and who of elections: For instance, lies about when polls close, where one can vote, whether one can vote online, by mail, and the like, and who is eligible to vote. These lies can generally be narrowly defined and tend to be easily verifiable; and many such lies are likely to happen shortly before the election,<sup>70</sup> when established alternative institutions—election officials, candidates, the media, and others—might not have the time to undo the effects of the lie.

To be sure, the distinction between such statements and political lies more broadly is not completely sharp. *New York Times v. Sullivan* the allegation about Rev. King having been arrested seven times by the police was also a narrow and easily verifiable claim, and yet that too was seen as categorically not punishable. Moreover, there will sometimes be controversy about whether a particular statement is an obvious joke, as with this meme, which is the foundation of a criminal prosecution:<sup>71</sup>



(This is similar to the disputes that often arise about whether an allegedly libelous statement is satire.<sup>72</sup>) And there may also be controversies about what the true meaning of an ambiguous

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<sup>70</sup> For instance, few dirty tricksters would even want to lie about the closing time of polling places a month before the election, since by election day people will likely have forgotten such details.

<sup>71</sup> See Eugene Volokh, *Are Douglass Mackey's Memes Illegal?*, TABLET, Feb. 9, 2021, <https://www.tabletmag.com/sections/news/articles/douglass-mackey-ricky-vaughn-memes-first-amendment>; Complaint, United States v. Mackey, No. 1:21-cr-00080 (E.D.N.Y. Jan. 22, 2021).

<sup>72</sup> See, e.g., *New Times, Inc. v. Isaacks*, 146 S.W.3d 144 (Tex. 2004).



statement might be.<sup>73</sup>

### C. *Lies About Highest-Level Government Officials / Large Corporations*

This analysis suggests that perhaps, on balance, statements about government officials who are at the highest levels of government should also be categorically immune from liability. There is a comparable risk that prosecutors, judges, and jurors will be too partisan and emotionally invested to adjudicate these claims correctly. The media may well have enough incentive to figure out the truth about this; and such journalistic factfinding, however imperfect, may be better than (and certainly much quicker than) jury factfinding. The same may be true about statements about large corporations, especially given that those corporations have ample resources that they can quite legitimately use to rebut such allegations.

Perhaps on this point, even if not as to lower-level officials, the *New York Times v. Sullivan* concurrences were correct. At the same time, it may be good to have crisper lines here, such as between libels of the government and libels of individuals or nongovernmental entities, as opposed to lines between super-high-level officials and merely ordinary officials.

### D. *Statements About Science, Government, and the Like in Specific Libel or Fraud Lawsuits*

We see, then, that courts are generally allowed to determine whether a statement about an individual is true, or whether a statement said to government officials is true, or whether a statement aimed at getting money is true. They are generally not allowed to determine whether a statement about the government, or about history or science, is true (at least in the context of punishing speech, as opposed to litigating nonspeech claims). What happens, though, at the intersection of those two categories?

Say, for instance, that someone testifies before a grand jury—or even just tells government investigators—“I saw a police officer beat John Smith,” even without any reference to a specific

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<sup>73</sup> See, e.g., *Minnesota Voters Alliance v. Mansky*, 138 S. Ct. 1876, 1889 n.4 (2018) (noting the state’s argument that “Please I.D. Me” buttons could be banned at polling places “because the buttons were designed to confuse other voters about whether they needed photo identification to vote,” even though literally “Please I.D. Me” doesn’t state that photo identification is legally necessary, and in context appears to be a statement of support for voter identification requirements and not a reminder to election officials to comply with any supposedly existing rules). Consider, in the analogous context of laws banning false claims that one is an incumbent, see, e.g., ORE. REV. STATS. ANN. § 260.550(1)—laws that are likewise potentially justifiable on the grounds that they deal with narrow and easily verifiable factual assertions—*Mosee v. Clark*, 453 P.2d 176 (Ore. 1969), in which an election was contested on the grounds that the slogan “Return a proven leader” was a false claim of incumbency. The court concluded that the statement was ambiguous, and therefore not false:

In this case, the slogan, ‘Return a proven leader,’ may have created the inference in the minds of some readers that Clark was an incumbent county officer of some kind, including, perhaps, Commissioner, Position No. 4. However, the slogan also may have caused other readers to draw the inference that Clark had in the past served in county government and had been a leader in government. Since Clark had been County Sheriff, there was no falsity in an inference that he was a veteran in government.

*Id.* at 87. But one can imagine a different court coming out differently, especially given the word “return.”

police officer. If a prosecutor believes that the witness knowingly lied, could such a statement lead to a perjury or false statement prosecution? Or say that someone makes the same claim in a fund-raising letter (“Give money to the Anti-Police-Brutality Foundation, so we can deal with abuse such as the police beating of John Smith”). If a prosecutor or a consumer protection agency claims that the author knowingly lied, could such a statement lead to a fraud prosecution?

Or let’s take an example of a speech that is not within an existing First Amendment exception, but that would likely be restrictable under intermediate scrutiny, the test adopted by the controlling *Alvarez* concurrence: Someone files an unsworn complaint with the police department, claiming the police beat him. If the prosecutor believes this is a knowing lie, could this lead to a prosecution for filing a false police report? (Three Justices of the Minnesota Supreme Court recently suggested the answer is “no.”<sup>74</sup>)

The same questions arise not just with statements alleging government misconduct, but also with statements about “history, the social sciences, the arts, and other matters of public concern.” The government may not ban newspaper articles about whether or not human activity is warming the Earth, or about whether there are biological differences between the sexes’ or races’ cognitive faculties. But say that a scientist testifies in court that his study showed that there are or are not such biological differences (perhaps in supporting or opposing an argument that a disparity between an employer’s workforce and its applicant pool stems from real differences in applicant quality and not from discrimination). Could he be prosecuted for perjury if there is evidence that he had deliberately falsified his research results?

Or say the scientist applies for a grant, or seeks contributions from the public, based on his claimed medical discoveries—could he be prosecuted for fraud if it is shown that he has admitted to friends that the discoveries are fake, or at least that the effects he claims are overstated? The Third Circuit, for instance, has held that a writer of a book about the Pope could be prosecuted for defrauding his publisher by falsely claiming to have conducted interviews with his subject. But several judges dissented, arguing that courts ought not pass judgment on the accuracy of claims in books, even when the falsehoods were seen as defrauding not just consumers but also the publishers.<sup>75</sup>

In all of these situations, one can still say that “it is perilous to permit the state to be the arbiter of truth”—just as it would be perilous if the government tried to generally ban lies about itself, about science, about history, and about other “matters of public concern.” Prosecutors, judges, and juries may often be unreliable evaluators of what is a knowing lie and what is an unorthodox

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<sup>74</sup> *State v. Crawley*, 819 N.W.2d 94, 120–25 (Minn. 2012) (Stras, J., dissenting) (concluding that even such “knowing falsehoods” are presumptively “entitled to protection under the First Amendment,” and restrictions on them are “unconstitutional unless [they] can survive strict scrutiny”). The dissenters concluded that the statute “also fails to survive constitutional scrutiny because . . . [it] is viewpoint discriminatory,” *id.* at 126, but that was an independent ground for the dissent’s conclusion.

<sup>75</sup> *In re Grand Jury Matter (Gronowicz)*, 762 F.2d 983 (3d Cir. 1985).

truth in such matters. And that's true in a perjury, fraud, or false statement prosecution as much as in a hypothetical prosecution for seditious libel, racial libel, or Holocaust denial.

Thus, for instance, say someone wants to raise money for a foundation that would reject claims that the Ottoman government deliberately engaged in genocide of Armenian civilians during World War I. Doubtless in his fundraising letters the speaker would assert that the Armenian genocide did not in fact happen. Yet if the government then prosecutes the speaker for fundraising fraud, this would pose much the same kind of threat to free debate about history that the Court was concerned about in *Alvarez*.

At the same time, disabling the government from prosecuting such lies (or, in some instances, allowing civil liability based on such lies) when those lies lead to tangible financial injury, or interfere with court proceedings or police investigations, can leave a good deal of harm unpunished and undeterred. This is why when it comes to individual libel, the harms of which are intensely focused on particular people, the Court allowed liability for knowing lies and rejected an argument for categorical protection. Again, the concurring Justices in *New York Times v. Sullivan* made such an argument, precisely on the grounds that the legal system can't be trusted in determining the truth or falsehood of allegations on matters of public concern (especially allegations about public figures). But the majority refused to go along.

Likewise, when it comes to religious claims, the Court has long recognized that the government may not be an arbiter of truth. But the Court has nonetheless allowed fraud prosecutions based on a showing that someone seeking religious donations is knowingly lying about his supposed religious experiences and miraculous healing powers. That was the holding of *United States v. Ballard* in rejecting a Free Exercise Clause defense to a fraud prosecution,<sup>76</sup> but the logic of the Court's analysis would apply to a Free Speech Clause defense as well.

This question has so far been little explored, and my views on it are tentative. But it seems to me that such lies should be punishable at least when they (1) constitute fraud, perjury, false statement to government officials, or some other generally punishable sort of lie, and (2) are claimed to be based on personal knowledge.

Lies that are supposedly based on personal knowledge—"I saw the police beat John Smith," "I conducted my experiments this way," "I have healed the sick by laying on my hands," "I interviewed the Pope for my book"—tend to be particularly dangerous. Speakers who claim first-hand knowledge of some incident are essentially claiming to have superior expertise about the incident: They were present, and (usually) very few other people were. As a result, people considering the matter tend to feel inclined to take such eyewitness claims seriously.

In such situations, it's especially important that lies be deterred and punished by the legal system. The lies are potentially more harmful, or at least more directly harmful, than mere allegations in newspapers, because they involve attempts to get money, attempts to deceive the judicial

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<sup>76</sup> 322 U.S. 78 (1944).

process, or attempts to distract government officials in their investigations. And the lies are potentially more harmful than broad assertions not based on personal knowledge (e.g., claims that the Armenian genocide did not happen), precisely because the speaker's claim of first-hand knowledge is especially likely to be believed, and is especially hard to rebut.

On the other hand, someone who is yet another voice on the subject of the Armenians in World War I, the JFK assassination, or racial differences is only one source among many. Most listeners, especially ones whose job it is to evaluate his statement (such as government officials) or whose money is on the line (such as book publishers), will give the person's claims comparatively little credit—why credit them more than all the other experts' claims?—and will find it relatively easy to find other sources on the subject, precisely because the speaker is just one claimed expert among many.

### CONCLUSION

The Court has never precisely explained when lies are constitutionally protected and when they are punishable. But the particular lines that it has drawn seem generally consistent with a comparative institutional approach to responding to lies. Government determination of which assertions are false and should therefore be punished is always perilous. When institutions—scholars, the government as speaker, the media, perhaps opposing election campaigns—there is a way to avoid the peril while still rebutting the lies. It's imperfect but it's better than the alternative of government coercion; in such a situation, “the fitting remedy for” lies, as well as for “evil counsels,” is rebuttal.<sup>77</sup>

But in other situations, when the harm from lies is serious and alternative institutions for rebutting the lies aren't likely to exist, the government can indeed try to deter the lies by the threat of criminal prosecution or civil liability. That explains the constitutionality of properly limited libel law, and of the laws punishing fraud, perjury, and the like. And that can help decide where the lines can be drawn in the areas that remain unsettled.

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<sup>77</sup> *Whitney v. California*, 274 U.S. 357, 375 (1927) (Brandeis, J., concurring in the judgment).